

**STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT**

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IN THE MATTER OF: Carl Moose, and  
Infiniti Wind Technology N.A. LLC,  
Infiniti Wind Technology LLC,  
Infiniti Enterprises Worldwide, Inc.  
Infiniti Caribbean Wind, LLC, and their partners,  
members, officers, directors, agents, employees,  
affiliates, successors, and assigns  
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) No. 1100288  
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**CONSENT ORDER OF PROHIBITION**

WHEREAS, Respondents Carl Moose and the above-captioned "Infiniti" companies on the 16<sup>th</sup> day of December 2015 executed a certain Stipulation to Enter Consent Order (the "Stipulation"), which hereby is incorporated by reference herein.

WHEREAS, by means of the Stipulation, Respondents have admitted to the jurisdiction of the Secretary of State and service of the Notice of Hearing of the Secretary of State, Securities Department in this proceeding (the "Notice") and Respondents have consented to the entry of this Consent Order of Prohibition ("Consent Order").

WHEREAS, by means of the Stipulation, the Respondents acknowledged the following facts, allegations and findings contained in the Notice, while neither admitting nor denying the truth thereof, shall be adopted as the Secretary of State's Findings of Fact:

**FINDINGS OF FACT**

1. Carl G. Moose (CRD #1729403) is a natural person. His last known address is 418 N. Clark Street, Unit #2, Chicago, Illinois 60654.
2. Infiniti Wind Technology N.A. LLC, Infiniti Wind Technology LLC, Infiniti Enterprises Worldwide, Inc. and Infiniti Caribbean Wind, LLC are Illinois business entities created and controlled by Carl Moose, all of which have a last known address of 1658 N. Milwaukee Ave. Suite 245, Chicago, Illinois 60622 (however that location is a UPS Store and not an office).
3. From on or about January 2007 through on or about June 2011, Moose offered and sold to 28 investors membership units or stock in Infiniti Wind Technology N.A. LLC and Infiniti Caribbean Wind, LLC and received from these investors \$1,189,160.

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4. Moose represented to investors that their funds would be used for business investment purposes including the purchase of stock in a wind power energy company and licensing arrangement with the same entity.
5. Instead Moose transferred over \$517,000 to business bank accounts of unrelated, unaffiliated business entities which he formed and controlled including the accounts of Infiniti Enterprises Worldwide, Inc.
6. None of the above transfers were done pursuant to any written business agreement or other evidence of investment or legitimate business transaction and were contrary to the operating agreement of Infiniti Wind Technology LLC which required approval by the members of the LLC before the transfers could take place.
7. Upon transfer of these funds, Moose diverted the funds for his own use and to pay off investors of his other unrelated investment schemes.
8. Respondent Moose materially misrepresented the potential earnings of the Respondent Infiniti LLC to investors.
9. Respondent Moose failed to disclose to investors that he was not licensed as a registered representative.
10. Respondent Moose failed to disclose to investors that Respondent Infiniti Wind Technology LLC and Infiniti Caribbean Wind LLC were not registered to sell securities with the Illinois Secretary of State Securities Department.
11. Respondent Moose sold to investors that were not accredited investors, not sophisticated investors and to seniors.
12. Respondent Moose manipulated investors to pull their money out of traditional accounts, including but not limited to stock market accounts and IRA accounts.
13. The activities set forth in paragraphs above constitute the offer and sale of stock, and therefore a security, as those terms are defined in Section 2.1, 2.5 and 2.5a of the Illinois Securities Law of 1953, 815 ILCS 5/1 *et seq.*
14. Respondents failed to file an application for registration of the above-referenced securities with the Secretary of State and as a result, the securities were not registered pursuant to Section 5 of the Act prior to their offer and sale in the State of Illinois.
15. Respondents have never been registered with the Illinois Secretary of State Securities Department to offer or sell securities in the State of Illinois.
16. At all relevant times, the Respondents were not registered as dealers and/or salespersons under the Act

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17. Respondents offered an unregistered security
18. By virtue of the foregoing, the Respondents have violated Sections 12 C, F, G, and I of the Act.

NOW THEREFORE IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 11.E of the Act, Carl Moose and the above-captioned "Infiniti" companies partners, their officers and directors, agents, employees, affiliates, successors and assigns:

- A. **ARE PERMANANTLY PROHIBITED** from offering or selling securities in or from this State until further Order of the Secretary of State.
- B. **ARE LEVIED** costs incurred in the investigation of this matter in the amount of One Thousand Dollars (\$1,000.00).
- C. **ARE ORDERED** to submit to the Department, on or before February 19, 2016, a cashier's check in the amount of One Thousand Dollars (\$1,000.00) payable to the Illinois Secretary of State.

Dated: This 16<sup>th</sup> day of December 2015.

  
JESSE WHITE  
Secretary of State  
State of Illinois

Attorney for the Secretary of State:  
James J. Tierney  
Illinois Securities Department  
69 West Washington Street  
Chicago, Illinois 60602  
312-793-9650